

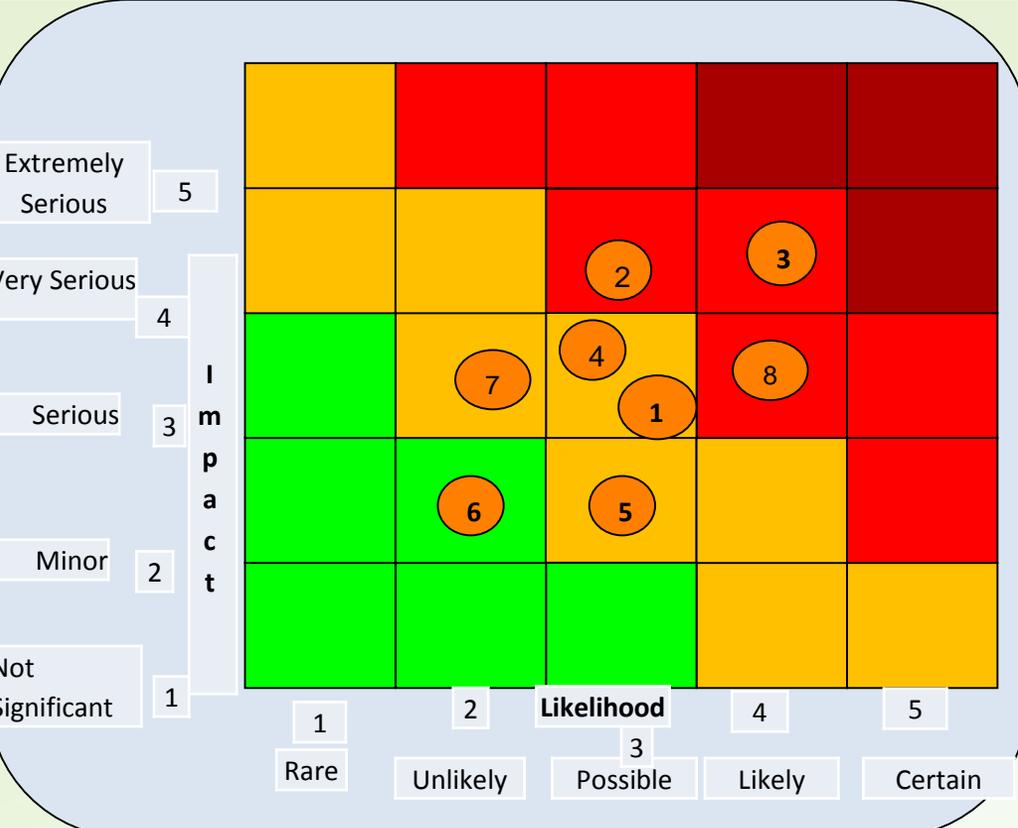
SAMPLE RISK ASSESSMENT

About

The risk assessment focuses on understanding the process, risks and controls in place in your company and how they will be managed.

Sample Risk Assessment

(This is an example of a risk heat map. It is a tool used to present the results of a risk assessment process visually and in a meaningful and concise way)



Key risk tolerance indicator	
A	We don't believe <Name of your company> can or should do more
B	We believe <Name of your company> could do more
C	We are not sufficiently prepared and immediate action is required

RISKS (All Risk Titles are listed here showing person responsible. The type of risks will vary from one assessment to another)		RESPONSIBILITY
Strategic Risks		
1	Type the Risk Title here.	Specify person responsible. Example John Doe
2		
Business Continuity Risks		
3		
4		
Operational Risks		
5		
Ethics & Compliance Risks		
6		
Information Security risks		
7		
8		



Risk Title	1. Type the Risk Title here. It should be brief and unambiguous.			
Risk Definition :	<ul style="list-style-type: none"> Risks associated with the risk titled above are listed here. 			
Risk Owner	Example: John Doe	Risk Area: Example: Operational	Risk Tolerance	Example: B We believe there is more we could do
Net risk (overall) rating Example Medium		Net risk impact: Example 3 Serious	Net risk rating likelihood: Example 3 possible	
Describe existing key controls and Mitigating actions	<ul style="list-style-type: none"> List and describe all controls and mitigating actions that currently exist within your company to deal with the Risk identified 			
Describe additional key controls and mitigating actions taken	<ul style="list-style-type: none"> List and describe all controls and mitigating actions that are underway or in progress from previous assessments 			
Implications / consequences if risk materialises	Describe consequences should the particular Risk materialise.			

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